



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

DOO HEE CHO, ET AL.

Application No.:

Filed:

For: **METHOD OF MANUFACTURING A  
PLANAR WAVEGUIDE USING ION  
EXCHANGE METHOD**

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

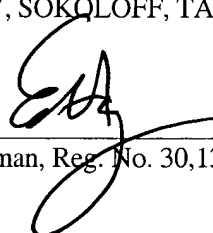
Pursuant to Rule 1.97, Applicant desires to make of record the reference(s) set forth on the attached Form PTO 1449. A copy of each reference is submitted herewith.

It is hereby stated that this Information Disclosure Statement is being filed within three months of the filing date of the subject application, therefore no petition or fee is required. However, in the event a petition is needed for consideration of this Information Disclosure Statement, Applicant hereby so petitions. Please charge any additional fee due to Deposit Account 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 12/26/01

  
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<b>Form PTO-1449 (Modified)</b>	Atty Docket No. 5882p004	Application No.
<i>List of Patents &amp; Publications Statement</i>	Applicant(s): Doo Hee Cho, et al.	
(Use several sheets if necessary)	Filing Date:	Group No.:

Jc971 U.S. PTO  
 10/033126  
 12/26/01

**U.S. PATENT DOCUMENTS**

Exam. Initials		Document Number	Date	Name	Class	Sub-class	Filing Date (if appropriate)
	AA	4913717	04/03/1990	Cooper			
	AB	5035734	07/30/1991	Honkanen et al.			
	AC	5160360	11/03/1992	Seki et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**FOREIGN PATENT DOCUMENTS**

Exam. Initials		Document Number	Date	Country	Class	Sub-class	Translation Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							
	AQ							

**OTHER ART (Including Title, Author, Date, Pertinent Pages, etc.)**

Exam. Initials		Document Identification
	AR	Stripe Waveguides with matched refractive index profiles fabricated by ion exchange in glass by T. Poszner
	AS	Field Assisted ion exchange in glass: the effect of masking films by B. Pantchev
	AT	Polarization Insensitive Ion-Exchanged Arrayed-Waveguid Grating Multiplexers in Glass by B. Buchold

Examiner: \_\_\_\_\_ Date Considered: \_\_\_\_\_

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication